THE PML₂ LANGUAGE: PROVING PROGRAMS IN ML









Rodolphe Lepigre - Séminaire Gallium du 08/03/2018

Semantics and Implementation of an Extension of ML for Proving Programs



RODOLPHE LEPIGRE, 18/07/2017

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A Programming Language, with Program Proving Features

An ML-like programming language with:

- records, variants (constructors), inductive types,
- polymorphism, general recursion,
- a call-by-value evaluation strategy,
- effects (control operators),
- a Curry-style syntax (light) and subtyping.

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- programs as individuals (higher-order layer),
- an equality type $t \equiv u$ (observational equivalence),
- a dependent function type (typed quantification).
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Example of Program and Proof

type rec nat = [Zero ; S of nat] val rec add : nat \Rightarrow nat \Rightarrow nat = fun n m { case n { Zero \rightarrow m | S[k] \rightarrow S[add k m] } }

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  fun m { { } }
val rec add n Zero : \forall n \in nat, add n Zero \equiv n =
  funn{
     case n {
       Zero \rightarrow {}
       S[p] \rightarrow add_n_Zero p
     }
  }
```

Part I Specificities of the Type System

PART II FORMALISATION OF THE SYSTEM AND SEMANTICS

PART III SEMANTICAL VALUE RESTRICTION

Part I

Specificities of the Type System

PROPERTIES AS PROGRAM EQUIVALENCES

Examples of (equational) program properties:

- add (add m n) k \equiv add m (add n k)
- rev(revl) \equiv l
- mapg(mapfl) \equiv map(funx{g(fx)}) l
- sort (sort l) \equiv sort l

(associativity of add) (rev is an involution) (map and composition) (sort is idempotent)

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(associativity of add) (rev is an involution) (map and composition) (sort is idempotent)

Specification of a sorting function using predicates:

- is_increasing (sort l) \equiv true
- is_perm (sort l) l \equiv true

(sort produces a sorted list) (sort yields a permutation)

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Remark: decision of equivalence only needs to be correct.

First-Order Quantification is not Enough

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\textbf{fun n m \{ \textbf{case n } \{ \textbf{ Zero } \rightarrow \textbf{ m } | \textbf{ S[k] } \rightarrow \textbf{ S[add } \textbf{ k m] } \} }
```

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We need a form of typed quantification!

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STRUCTURING PROOFS WITH DUMMY PROGRAMS

```
val rec add_n_Sm : \foralln m\innat, add n S[m] \equiv S[add n m] =
  fun n m {
     case n { Zero \rightarrow {} | S[k] \rightarrow add n Sm k m }
  }
val rec add_comm : \foralln m\innat, add n m \equiv add m n =
  fun n m {
     case n {
        Zero \rightarrow add n Zero m
        \texttt{S[k]} \ \rightarrow \ \texttt{add\_n\_Sm} \ \texttt{m} \ \texttt{k; add\_comm} \ \texttt{k m}
     }
   }
```

Part II

Formalisation of the System and Semantics

Realizability Model

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- 1) give the syntax of programs and types,
- 2) define the interpretation of types as sets of terms (uses reduction),
- 3) define adequate typing rules,
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Advantage: it is modular (contrary to type preservation).

CALL-BY-VALUE ABSTRACT MACHINE

Values
$$(\Lambda_{\iota})$$
 $\nu, w ::= x | \lambda x.t | \{(l_i = \nu_i)_{i \in I}\} | C_k[\nu]$
Terms (Λ) $t, u ::= \nu | t u | \nu.l_k | [\nu| (C_i[x_i] \rightarrow t_i)_{i \in I}] | \mu \alpha.t | [\pi]t$
Stacks (Π) $\pi, \xi ::= \alpha | \varepsilon | \nu.\pi | [t]\pi$ (evaluation context)
Processes $p, q ::= t * \pi$

CALL-BY-VALUE REDUCTION RELATION

$$\begin{array}{l} t \ u \ast \pi \succ u \ast [t]\pi \\ \nu \ast [t]\pi \succ t \ast \nu . \pi \\ \lambda x.t \ast \nu . \pi \succ t[x \coloneqq \nu] \ast \pi \\ \{(l_i = \nu_i)_{i \in I}\}.l_k \ast \pi \succ \nu_k \ast \pi \qquad (k \in I) \\ [C_k[\nu] \mid (C_i[x_i] \rightarrow t_i)_{i \in I}] \ast \pi \succ t_k[x_k \coloneqq \nu] \ast \pi \qquad (k \in I) \\ \mu \alpha.t \ast \pi \succ t[\alpha \coloneqq \pi] \ast \pi \\ [\pi] t \ast \xi \succ t \ast \pi \end{array}$$

Successful Computation and Observational Equivalence

The abstract machine may either:

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- fail with a *runtime error* or never terminate (it diverges).
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Types as Sets of Canonical Values

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$$\begin{split} \llbracket \{ l_1 : A_1; l_2 : A_2 \} \rrbracket &= \left\{ \{ l_1 = \nu_1; l_2 = \nu_2 \} \mid \nu_1 \in \llbracket A_1 \rrbracket \land \nu_2 \in \llbracket A_2 \rrbracket \right\} \\ \llbracket [[C_1 : A_1 \mid C_2 : A_2] \rrbracket &= \left\{ C_i [\nu] \mid i \in \{1, 2\} \land \nu \in \llbracket A_i \rrbracket \right\} \\ \llbracket \forall X.A \rrbracket &= \bigcap_{\Phi \text{ type}} \llbracket A[X \coloneqq \Phi] \rrbracket \\ \llbracket \exists X.A \rrbracket &= \bigcup_{\Phi \text{ type}} \llbracket A[X \coloneqq \Phi] \rrbracket \\ \llbracket \exists X.A \rrbracket &= \bigcup_{\nu \text{ value}} \llbracket A[\alpha \coloneqq t] \rrbracket \\ \llbracket \exists x.A \rrbracket &= \bigcup_{\nu \text{ value}} \llbracket A[\alpha \coloneqq t] \rrbracket \\ \llbracket \exists x.A \rrbracket &= \bigcup_{\nu \text{ value}} \llbracket A[\alpha \coloneqq t] \rrbracket$$

Membership Types and Dependency

We consider a new membership type $t \in A$ (with t a term, A a type).

- It is interpreted as $\llbracket\!\! [t\!\in\! A]\!\!] = \{\nu \in \llbracket\!\! [A]\!\!] \mid t \equiv \nu\}$,
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- and allows the introduction of dependency.

The dependent function type $\forall x\!\in\!A.B$

- is defined as $\forall x.(x \in A \Rightarrow B)$,
- this is a form of relativised quantification scheme.

Semantic Restriction Type and Equalities

We also consider a new restriction type $A \upharpoonright P$:

- it is build using a type A and a "semantic predicate" P,
- $[A \upharpoonright P]$ is equal to [A] if P is satisfied and to $[\bot]$ otherwise.
- We can use predicates like $t \equiv u$, $\neg P$ or $P \land Q$.

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The equality type $t \equiv u$ is encoded as $\top \upharpoonright t \equiv u$.

 $\llbracket A \Rightarrow B \rrbracket = \{\lambda x. w \mid \forall v \in \llbracket A \rrbracket, w[x \coloneqq v] \in \llbracket B \rrbracket\}$

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What about λ -abstractions which bodies are terms?

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We define a completion operation $\llbracket A \rrbracket \mapsto \llbracket A \rrbracket^{\perp \perp}$.

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The set $[A]^{\perp \perp}$ contains terms "behaving" as values of [A].

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Definition: we take $\llbracket A \Rightarrow B \rrbracket = \{\lambda x.t \mid \forall v \in \llbracket A \rrbracket, t[x \coloneqq v] \in \llbracket B \rrbracket^{\perp \perp}\}.$

The definition of $\llbracket A \rrbracket^{\perp \perp}$ is parametrised by a set of processes $\perp \subseteq \Lambda \times \Pi$.

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$$\llbracket A \rrbracket \quad \in \; \{ \Phi \subseteq \Lambda_{\iota} \mid \nu \in \Phi \land \nu \equiv w \Rightarrow w \in \Phi \}$$
$$\llbracket A \rrbracket^{\bot} \quad = \; \{ \pi \in \Pi \mid \forall \nu \in \llbracket A \rrbracket, \nu * \pi \in \bot \}$$
$$\llbracket A \rrbracket^{\bot \bot} \quad = \; \{ t \in \Lambda \mid \forall \pi \in \llbracket A \rrbracket^{\bot}, t * \pi \in \bot \}$$

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This is encoded with two forms judgments:

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Theorem (adequacy lemma):

- if $\vdash t : A$ is derivable then $t \in \llbracket A \rrbracket^{\perp \perp}$,
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Proof by induction on the typing derivation.

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For example
$$\frac{\vdash_{val} \nu : A}{\vdash \nu : A}$$
 is correct since $\llbracket A \rrbracket \subseteq \llbracket A \rrbracket^{\perp \perp}$.

$$\frac{\Gamma; \Xi \vdash_{val} v : A}{\Gamma; \Xi \vdash_{val} v : \forall X.A} X \notin \Gamma$$

 $\frac{X \vdash_{val} v : A}{\vdash_{val} v : \forall X.A}$

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$$\frac{X \vdash t : A}{\vdash t : \forall X.A} bad$$

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Adequacy of For All Introduction

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$$\frac{X \vdash t : A}{\vdash t : \forall X.A}$$
bac

We suppose $t \in \llbracket A[X := \Phi] \rrbracket^{\perp \perp}$ for all Φ , and show $t \in \llbracket \forall X.A \rrbracket^{\perp \perp}$.

However we have $\bigcap_{\Phi} \llbracket A[X \coloneqq \Phi] \rrbracket^{\perp \perp} \not\subseteq \llbracket \forall X.A \rrbracket^{\perp \perp} = \left(\bigcap_{\Phi} \llbracket A[X \coloneqq \Phi] \rrbracket \right)^{\perp \perp}$.

Properties of the System

Theorem (normalisation):

t : A implies $t * \varepsilon > v * \varepsilon$ for some value v.

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PROPERTIES OF THE SYSTEM

Theorem (normalisation):

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Theorem (safety for simple datatypes):

t : A implies t $* \varepsilon > v * \varepsilon$ for some value v : A.

Theorem (consistency):

```
there is no closed term t : \bot.
```

Part III

Semantical Value Restriction

Derived Rules for Dependent Functions

$$\frac{\mathbf{x}: \mathbf{A} \vdash \mathbf{t}: \mathbf{B}[\mathbf{a} \coloneqq \mathbf{x}]}{\vdash_{\mathrm{val}} \lambda \mathbf{x}.\mathbf{t}: \forall \mathbf{a} \in \mathbf{A}.\mathbf{B}}$$

$$\frac{\vdash \mathbf{t} : \forall a \in A.B \quad \vdash_{val} \mathbf{v} : A}{\vdash \mathbf{t} \mathbf{v} : B[a \coloneqq \mathbf{v}]}$$

Derived Rules for Dependent Functions

$x : A \vdash t : B[a \coloneqq x]$	$\vdash t: \forall a \in A.B$	$\vdash_{val} v : A$
$\vdash_{val} \lambda x.t : \forall a \in A.B$	$\vdash t v : B[a \coloneqq v]$	

$$\frac{\frac{\vdash \mathbf{t}: \forall \mathbf{a} \in A.B}{\vdash \mathbf{t}: \forall \mathbf{a}.(\mathbf{a} \in A \Rightarrow B)}_{\forall \mathbf{t}}}{\vdash \mathbf{t}: \mathbf{v} \in A \Rightarrow B[\mathbf{a} \coloneqq \mathbf{v}]}_{\forall \mathbf{t}} \stackrel{\mathsf{Def}}{=} \frac{\frac{\vdash_{\mathsf{val}} \mathbf{v}: A}{\vdash_{\mathsf{val}} \mathbf{v}: \mathbf{v} \in A}}{\vdash \mathbf{v}: \mathbf{v} \in A}_{\Rightarrow_{e}} \uparrow$$

Derived Rules for Dependent Functions

$$\frac{\mathbf{x}: \mathbf{A} \vdash \mathbf{t}: \mathbf{B}[\mathbf{a} \coloneqq \mathbf{x}]}{\vdash_{\mathrm{val}} \lambda \mathbf{x}.\mathbf{t}: \forall \mathbf{a} \in \mathbf{A}.\mathbf{B}} \qquad \qquad \frac{\vdash \mathbf{t}: \forall \mathbf{a} \in \mathbf{A}.\mathbf{B} \quad \vdash_{\mathrm{val}} \mathbf{v}: \mathbf{A}}{\vdash \mathbf{t} \; \mathbf{v}: \mathbf{B}[\mathbf{a} \coloneqq \mathbf{v}]}$$

$$\frac{\frac{\vdash \mathbf{t}: \forall a \in A.B}{\vdash \mathbf{t}: \forall a.(a \in A \Rightarrow B)}_{\forall e}}{\vdash \mathbf{t}: \nu \in A \Rightarrow B[a \coloneqq \nu]}_{\forall e} \quad \frac{\frac{\vdash_{val} \nu: A}{\vdash_{val} \nu: \nu \in A}}{\vdash \nu: \nu \in A}_{\Rightarrow_e}$$

Value restriction breaks the compositionality of dependent functions.

// add_n_Zero : $\forall n {\in} nat$, add n Zero \equiv n

add_n_Zero (add Zero S[Zero]) : add (add Zero S[Zero]) Zero \equiv add Zero S[Zero]

We replace
$$\frac{\vdash t : \forall a \in A.B \quad \vdash_{val} v : A}{\vdash t v : B[a := v]} \quad by \quad \frac{\vdash t : \forall a \in A.B \quad \vdash u : A \quad \vdash u \equiv v}{\vdash t u : B[a := u]}$$

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This requires changing
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Can this rule be derived in the system?

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The biorthogonal completion should not introduce new values.

The rule seems reasonable, but it is hard to justify semantically.

We do not have $v \in \llbracket A \rrbracket^{\text{ll}}$ implies $v \in \llbracket A \rrbracket$ in every realizability model.

We do not have $v \notin \llbracket A \rrbracket$ implies $v \notin \llbracket A \rrbracket^{\perp \perp}$ in every realizability model.

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We extend the system with a new term constructor $\delta_{\nu,w}$ such that $\delta_{\nu,w} * \pi > \nu * \pi$ iff $\nu \neq w$.

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Idea of the proof with $\mathbb{I} = \{p \mid p \downarrow\}$:

- We assume $\nu \notin \llbracket A \rrbracket$ and show $\nu \notin \llbracket A \rrbracket^{\perp \perp}$.

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- We assume $\nu \notin \llbracket A \rrbracket$ and show $\nu \notin \llbracket A \rrbracket^{\perp \perp}$.
- We need to find $\pi \in \llbracket A \rrbracket^{\perp}$ such that $v * \pi \Uparrow$.

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We extend the system with a new term constructor $\delta_{y,w}$ such that

$$\delta_{v,w} * \pi > v * \pi$$
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- We assume $\nu \notin \llbracket A \rrbracket$ and show $\nu \notin \llbracket A \rrbracket^{\perp \perp}$.
- We need to find $\pi \in \llbracket A \rrbracket^{\perp}$ such that $\nu * \pi \uparrow$.
- We need to find π such that $v * \pi \uparrow$ and $\forall w \in \llbracket A \rrbracket, w * \pi \Downarrow$.

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- We can take $\pi = [\lambda x.\delta_{x,\nu}]\varepsilon$.

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- $\nu * [\lambda x.\delta_{x,\nu}] \varepsilon > \lambda x.\delta_{x,\nu} * \nu \, . \, \varepsilon > \delta_{\nu,\nu} * \varepsilon \Uparrow$

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- We can take $\pi = [\lambda x.\delta_{x,\nu}]\varepsilon$.
- $\nu * [\lambda x.\delta_{x,\nu}] \varepsilon > \lambda x.\delta_{x,\nu} * \nu . \varepsilon > \delta_{\nu,\nu} * \varepsilon \Uparrow$
- $w * [\lambda x.\delta_{x,v}] \varepsilon > \lambda x.\delta_{x,v} * w.\varepsilon > \delta_{w,v} * \varepsilon > w * \varepsilon \Downarrow \text{ if } w \in \llbracket A \rrbracket$

Well-defined construction of equivalence and reduction

Problem: the definitions of (>) and (\equiv) are circular.

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We need to rely on a stratified construction of the two relations.

$$(\twoheadrightarrow_{i}) = (\succ) \cup \left\{ (\delta_{\nu,w} * \pi, \nu * \pi) \mid \exists j < i, \nu \neq_{j} w \right\}$$
$$(\equiv_{i}) = \left\{ (t, u) \mid \forall j \leq i, \forall \pi, \forall \sigma, t\sigma * \pi \downarrow_{j} \Leftrightarrow u\sigma * \pi \uparrow_{j} \right\}$$

We then take

$$(\twoheadrightarrow) = \bigcup_{i \in \mathbb{N}} (\twoheadrightarrow_i)$$
 and $(\equiv) = \bigcap_{i \in \mathbb{N}} (\equiv_i).$

CONCLUSION

Things That I did not Show

- 1) Syntax directed typing and subtyping rules using:
 - local subtyping judgments of the form $t\in A\subset B$,
 - choice operators like $\epsilon_{x\in A}(t\notin B)$ or $\epsilon_X(t\notin A)\text{,}$
 - an encoding of "neutral terms" into reduction.
- 2) Inductive types, coinductive types and recursion (more recent) using:
 - circular typing and subtyping proofs,
 - well-foundedness established using the size change principle.
- 3) Unreachable code and refutation of patterns.

FUTURE WORK

Practical issues (work in progress):

- Composing programs that are proved terminating.
- Extensible records and variant types (inference).

Toward a practical language:

- Compiler using typing informations for optimisations.
- Built-in types (int64, float) with their specification.

Theoretical questions:

- Can we handle more side-effects? (mutable cells, arrays)
- What can we realise with (variations of) $\delta_{v,w}$?
- Can we extend the system with quotient types?
- Can we formalise mathematics in the system?

References for Technical Details

A Classical Realizability Model for a Semantical Value Restriction R. Lepigre (ESOP 2016) https://lepigre.fr/files/docs/lepigre2016_svr.pdf

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Semantics and Implementation of an Extension of ML for Proving Programs R. Lepigre, PhD manuscript https://github.com/rlepigre/phd/

Thanks!